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KELLEY DRYE & WARREN LLP TWO STAMFORD PLAZA 281 TRESSER BOULEVARD

STAMFORD, CONNECTIOUT **QR901-3229** (713) 324-1400

FAX (203) 327-2669

MESSAGE:

Re:

U.S. Patent Application No. 09/465,506

Filing Date: December 16, 1999

First Named Inventor: Lundberg

Attorney Docket Number: ICON-102(US)

Enclosed please find the following: (i) Reply to Notice Regarding Power of Attorney;(ii) Statement Under 37 CFR 3.73(b); (iii) previously submitted Power of Attorney and Change of Correspondence

Address; and (iv) Notice of Recordation of Assignment.

FROM

Thelma A. Chen Cleland

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(203) 351-8063

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TIMEKEEPER ID

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CLIENT NO.

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PAGE 1/6 \* RCVD AT 11/6/2006 4:07:03 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-2/15 \* DNIS:2738300 \* CSID:203 351 8150 \* DURATION (mm-ss):02-04

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant:

LUNDBERG, et al.

3693 Group Art Unit:

NOV 0 6 2006

Scripl No.:

09/465,506

Examiner:

PAGEL, Jagdish

Filing Date:

December 16, 1999

Docket No.:

ICON-102(US)

Title:

SYSTEM AND METHOD FOR SUPPORTING A SECURITY-TRADE

FINANCING SERVICE

Confirmation No.: 8959

#### CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being faceimile transmitted to the U.S. Patent and

Trademark Office at (571) 273-8300, on the date indicated below.

Date: November 6, 2006

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

### REPLY TO NOTICE REGARDING POWER OF ATTORNEY

Sir:

This letter is submitted in response to a Notice Regarding Power of Attorney ("Notice") that may have been mailed in the above-identified application. A copy of the Notice is not enclosed as Applicants did not received the Notice since the Office apparently erred in mailing the Notice to Pillsbury Winthrop Shaw Pittman LLP, who no longer represents Applicants. Additionally, the Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address form (Form PTO/SB/82) filed on June 20, 2005 was filed by Applicants' present representatives (copy attached), Kelley Drye & Warren LLP. Accordingly, the Notice should have been mailed by the Office to the present representatives. Applicants became aware that the correspondence address had not been changed as a result of a call from the Examiner.

Attorney Docket No.: ICON-102(US)

Serial No. 09/465,506

A proper Assignment has been recorded by the Office for Application Serial No. 09/465,506 under Reel /Frame 010468/0432 (copy of recordation data is attached). Through the Assignment, the entire title, and rights to the application as well as any continuation, divisional and continuation-in-part applications are assigned to Icon International Inc. from each of the inventors. Therefore, Applicants submit that a proper Assignment has been recorded with the Office for the present application, which assigns the rights to the application to Icon International Inc. Applicants enclose herewith Form PTO/SB/96 signed by an Officer of the assignee of record.

No fee is due with this communication; however, the Commissioner is hereby authorized to charge any fees required with this paper to Deposit Account No. 11-0404.

Dated: November 6, 2006

Respectfully submitted.

KELLEY DRYE & WARREN LLP Attorneys and Agents for Applicants

Chen Cleland

Tholma A. Chen Cleland

Reg. No. 40,948

Two Stamford Plaza

281 Tresser Boulevard

Stamford, CT 06901

Tcl. (203) 351-8063

Fax. (203) 327-2669

**Enclosures** 

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Approved by use through 11/3/17/17/1. CHIE CESS QUI U.S. P) sent Bed Trademark CHICK U.S. O'CPARTMENT OF COMPARED and [0.9 cellustran of information writes A Exployer a wind QUIB control repose NOV 0 6 2006 Lings; the Experiments touchestern and at 1995, no force on the until to the Application Number 09/465,506 December 16, 1999 Filing Dale REVOCATION OF POWER OF ATTORNEY WITH First Named Inventor Lance Lundhery NEW POWER OF ATTORNEY 3624 Intl ItA Jagdish Patel AND Examinal Name CHANGE OF CORRESPONDENCE ADDRESS Attomoy Decker Number | TCON-102 I trevely revoke all previous sewers of attorney dwent in the shows list experience of attorney views yet in the shows a state of the s A Power of Attorney is submitted herewith. 47670 I hereby appoint the practitioners associated with the Customer Number: Please change the correspondence address for the above-identified application tec The address associated with Customer Number: OR Firm or KELLEY DRYE & WARREN II P Individual Name Address TWO STAMFORD PLAZA 281 TRESSER BOULD/ARD State CT 06901-3229 City CTAMFORD Country U3 -Email Telephone 202-224-4400 am the: Applicant/Inventor. entire interect. See 37 CFR 3.71. Assignes of repord of t Steloment under 07 CFF 3.73(6) is enclosed. (Form PTO/SB/96) BIGNATURE of Applicant or Assignee of Record Signatum Name Kraner John P.C (203) 328-2300 Date June 17, 2005 MOTE: Discourse of OF U.S Date Mark or Spidgmans of Signature is marketed, Asia Station".

This options are regulated by 37 CFR 1.30. Yes believely ton is required to each or relate a bonote by the prints which is to the least by the prints by the prints which is to the least by 35 U.S.C. 122 and 37 CFR 1.17 and 1.14. This collection is understand in into 3 relating in generate by 35 U.S.C. 122 and 37 CFR 1.17 and 1.14. This collection is understand in into 3 relating the promoted in the prints of the part of the your experies the complete any experience for the prints of the your experience for contract the prints of the part of the your experience for the prints of the prints of the part of the your experience for the part of the your experience for the part of the par

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PTC/SB/95 (12-05)
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STATEMENT UNDER 37 CFR 3.73(b)	
Applicant/Patent Owner: Lundhers et al.	
Application No. Patent No. / Control No.: 08/465.508 Filed/lesue Date: Dec	ombar 16 1999
Entitled: System and Mothed for Supporting a Security-Trade Financing Service	
FUMARY	
con international inc.	
(Name of Assignee) (Type of Assignee)	, partnership, university, government agency, okc.)
states that it is: 1. $\bigcup$ the assignee of the entire right, title, and interest, or	
2. an assignee of less from the entire right, title and interest  (The extent (by percentage) of its ownership interest is	
in the patent application/patent identified above by virtue of either.	
All An assignment from the inventor(e) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademork Office at Reel <u>010488</u> . Frame <u>0432</u> , or a true copy of the original assignment is ethacised.	
OR  B. A chain of tide from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:	
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3, From:	Office at
Real, Frame or for which a copy thereof is attached.	
Additional documents in the chain of title are lixed on a supplemental sheet	
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of the assignee was, or concurrently is being, submitted for recordation pursuant to 37 [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) Division in accordance with 32 CFR Part 3, to record the assignment in the re 302.08]  The undersigned (whose title is supplied below) is artificited to act on behalf of the assignment.	must be submitted to Assignment cords of the USPTO. <u>See</u> MPEP
The street diffuse (street and	11/6/06
Signature	Dete
John P. Kramer	203-578-2300
Printed or Typed Name	Tolephone Number
Chief Executes Offices	

This collection of information is required by 37 CFR 3 73(b). The information is required to obtain or retain a benefit by may public which is to like (and by the USPTO to procest) on appreciant. Confloatishly, is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is destroiced to late 12 minutes to complete, including gallering, prescriby, and supprising the completed application form to the USPTO. This will very depending upon the increasing who comments on the amount of time you require to complete this form another suggestions for reducing the turbon, croud be sent to the Chief information Officer, U.S. Patern and Trademark Office. U.S. Osparation of Commence, P.O. Box 1450, Alexandria, VA. 22313-1450.

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Exammer laydish Patel - Group Art Unit - 3624

Re: U.S. Patent Application S/N 09/465,506 Our Ref.: ICON - 102

Please find enclosed an executed Revocation of Power of Artoney With New Power of Attorney and Change of Correspondence Address with reference to the above identified U.S. Parent Application.

U.S. Patent and Tredemark Office

Wechington, D.C.

2 (including this page) Jume 20, 2005

703-872-9306

Page Cover Received

phoffinann@kelleydrye.com

(203) 351-80:1

PHONE

Hans-Peter G. Hoffmann

please confirm receipt of this facsimile transmission.

05237

CLIENT NO.

Please process accordingly. Kelley Drye & Warren LLP Two Stamford Plazz 281 Treaser Boulevard Stemford, CT 06901

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